



Alabama State Board of Auctioneers
2777 Zelda Rd
Montgomery, AL 36106
Phone: 334-420-7205
Fax: 334-263-6115
Email: auctioneers@warrenandco.com
Website: www.auctioneer.alabama.gov

DISCIPLINARY ACTIONS

Name: Mickey Kirkland

Case #2015-008

Date: October 19, 2015

Disposition: Pursuant to an administrative hearing before the Board and Findings of Fact and Conclusions of Law duly rendered by an Administrative Law Judge, the Board found Apprentice Auctioneer Mickey Kirkland guilty of violations of Ala. Code § 34-4-21(c) (1975 as amended), for failing to report his 2013 criminal arrest, 2014/2015 indictments and 2015 guilty plea in Montgomery County Circuit Court to the Board; Ala. Code § 34-4-29(c)(1) (1975 as amended), for knowingly making substantial misrepresentations on his 2013 and 2014 license renewal applications regarding same; and Ala. Admin. Code r. 150-X-1-.11(c)(16) (2015), for knowingly making false statements to the Board in support of his license renewal applications for 2013 and 2014. Based on Mr. Kirkland's false representations, the Board issued renewal licenses to him as an apprentice auctioneer on September 30, 2013 and September 30, 2014. Mr. Kirkland was assessed an administrative fine in the amount of \$4,000.00 for said violations, and his Apprentice Auctioneer License, Number 2903, was revoked.

Name: Scott Rowe

Case #2015-013

Date: October 14, 2015

Disposition: The Board entered into a Consent Order with Respondent Scott Rowe, in which he pled guilty to violations of Ala. Code §§ 34-4-2 and 34-4-20 for negotiating contracts for the sale of items to the general public by means of public auction without having first obtained an auctioneer license from the Board. Respondent was assessed an administrative fine in the amount of \$1,000.00 for said violations.

Name: Paul Charpie; Scott Rowe Auction & Sales

Case #2015-013

Date: July 30, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Paul Charpie, in which he pled guilty to violations of Ala. Code § 34-4-29(c)(11) (1975 as amended) and Ala. Admin. Code r. 150-X-1-.11(c)12. (2015) by failing, prior to public auction, to enter into a written contract with the owner or consignee of property to be sold. Mr. Charpie and Scott Rowe Auction & Sales are also in violation of Ala. Code § 34-4-29(c)(4) (1975 as amended) and Ala. Admin. Code r. 150-X-1-.11(c)4. (2015) by failing to account for or remit within thirty days any money belonging to others that came into Rowe Auction's possession. Respondents were assessed an administrative fine in the amount \$2,750.00 for these violations.

Name: Randy Gosdin

Case #2015-006

Date: July 30, 2015

Disposition: The Board entered into a Consent Order with Respondent, in which he pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), for the sale of items to the general public, including the calling for and receiving of bids on items of general merchandise, by means of public auction without having first obtained an auctioneer license and/or an auction company license from the Board. Respondent was assessed an administrative fine in the amount of \$700.00 for said violations.

Name: Michael Robuck

Case #2014-010

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of Ala. Admin Code r. 150-X-1-.11(e) (2015), for conducting auction sales for an unlicensed auction house/company, and Ala. Code § 34-4-20 (1975 as amended), by advertising public auctions without having first obtained an auction company license from the Board, for which he was assessed an administrative fine of \$4,000.00.

Name: Brian Svencer

Case #2014-010

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of Ala. Code § 34-4-20 (1975 as amended), for negotiating contracts for the sale of miscellaneous items to the general public by means of public auction, advertising public auctions and conducting auctions for the sale of such items, for which he was assessed an administrative fine of \$4,500.00.

Name: Matthew Powell

Case #2014-010

Date: April 22, 2015

Disposition: Respondent was found guilty of violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), for conducting auction sales for an unlicensed auction house/company, for which he was assessed an administrative fine of \$500.00.

Name: Phillip Wingo

Case #2015-001

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Phillip Wingo, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), for conducting an auction sale for an unlicensed auction house/company, and Ala. Admin. Code r. 150-X-1-.10(c) (2015), for failing to be present at and directly supervise one or more auctions called by his apprentice auctioneer, and for failing to make a written appointment with the Board of a qualified licensed auctioneer to act in his stead. Mr. Wingo was assessed an administrative fine of \$1,000.00 for these violations.

Name: Wayne Kelly

Case #2015-001

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Wayne Kelly, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), for conducting auction sales for an unlicensed auction house/company. Mr. Kelly was assessed an administrative fine of \$750.00 for these violations.

Name: Judy Sartin; Natural Bridge Auction and Trading Post

Case #2015-001

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondents, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), for negotiating contracts for the sale of items to the general public by means of public auction and advertising public auctions for the sale of such items without having first obtained an auctioneer license and/or an auction company license. Respondents were assessed an administrative fine of \$500.00 for these violations.

Name: Jimmy Stephen Echols

Case #2015-001

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Apprentice Auctioneer Jimmy Echols, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.10(h)(3) (2015), for conducting auctions for an unlicensed auction house/company and conducting auctions without his sponsor present. Mr. Echols was assessed an administrative fine of \$750.00 for these violations.

Name: Anthony Wingo

Case #2015-001

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Anthony Wingo, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), for conducting auction sales for an unlicensed auction house/company; Ala. Admin. Code r. 150-X-1-.11(a)(22) (2015), for providing false information, statements or testimony to the Board's Investigator; and Ala. Admin. Code r. 150-X-1-.11(a)(21) (2013), for interfering with the Board's investigation by willful misrepresentation of facts. Mr. Wingo was assessed an administrative fine of \$3,000.00 for these violations.

Name: Sabrina Eouse; Furniture Market Auctions, Inc. d/b/a World of Décor

Case #2015-010

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Respondents, in which they pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended), for advertising auctions for the sale of miscellaneous items to the general public and conducting auctions for the sale of such items without having first obtained an auctioneer license and/or an auction company license from the Board. Respondents were assessed an administrative fine of \$7,500.00 for these violations.

Name: Chad Evans

Case #2015-010

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Chad Evans, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.11(e) (2015), for conducting auction sales for an unlicensed auction house/company. Mr. Evans was assessed an administrative fine of \$1,500.00 for these violations.

Name: Kenneth Lacy; K-Line Auctions and Estate Sales, LLC

Case #2015-007

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Apprentice Auctioneer Kenneth Lacy, in which he pled guilty to violations of Ala. Code § 34-4-20 (1975 as amended) and Ala. Admin. Code r. 150-X-1-.02(e) (2015), for negotiating contracts for the sale of items to the general public by means of public auction and advertising auctions without having first obtained an auction company license; Ala. Admin. Code r. 150-X-1-.03(b) and 150-X-1-.10(f) (2015), for failure to disclose the name and license number of his sponsoring auctioneer in auction advertisements; and Ala. Admin. Code r. 150-X-1-.10(h)(1) (2015), for executing auction contracts without the approval of his sponsor. Respondents were assessed an administrative fine of \$1,250 for these violations.

Name: David Scott Williams

Case #2015-007

Date: April 22, 2015

Disposition: The Board entered into a Consent Order with Auctioneer Scott Williams, in which he pled guilty to violations of Ala. Admin. Code r. 150-X-1-.10(e) (2015), for failing to approve consignment contracts negotiated and executed by his apprentice auctioneer. Mr. Williams was assessed an administrative fine of \$750.00 for these violations.